	F INFORMATION I Inder 37 CFR 1.97(b			TEMENT		Docket No. 5265
In Re Application: J	John S. Hendricks, et al.	AU6	2 9 2002			
Serial No.	Filing Date	PATE	E E	xaminer		Group Art Unit
09/344,499	June 25, 1999	PATERIA	PADEMARKY	n/a		2711
					<u> </u>	RECEIVED
ELECTRONIC BOOK S	SECURE COMMUNICA	TION W	ITH HOME S	UBSYSTEM		SEP 0 4 2002
					Ted	Chnology Center 2600
		Payr	nent of Fee			
	(Only complete if Ap	plicant ele	ects to pay the fe	e set forth in 37 Cl	FR 1.17	(p))
as described belo Charge to Credit an Charge a Certificate of	ow. A duplicate copy of the amount of the am	chis shee	Cer  I certify  as firs addres	tificate of Mailin that this document t class mail unde	and fee with the er 37 (	e is being deposited U.S. Postal Service C.F.R. 1.8 and is issioner for Patents,
Typed or Printed !	Name of Person Signing Certific	ate	Туре	d or Printed Name of	Person	Mailing Certificate
		by	Dated: A	ugust 29, 2002		
cc:						

(Use several sheets if necessary)

ATTY DOCKET NO.	SERIAL NO.
5265.00	09/344,499
John S. Handricks at al	
John S. Hendricks, et al.	
John S. Hendricks, et al.	GROUP

### **U.S. PATENT DOCUMENTS**

*EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE
OIPER	4,985,697	1/15/1991	Boulton	707	500.1	
AU6 2 9 2002	5,799,157	8/25/1998	Escallon	705	27 Echnology	SEP
, i	6,052,717	4/18/2000	Reynolds, et al.	709	218	E
TRADEN AND	4,855,725	8/8/1989	Fernandez	345	175	ED 2002
	5,144,663	9/1/1992	Kudelski, et al.	380	230	2600
	5,986,690	11/16/1999	Hendricks	725	60	
	6,034,680	3/17/2000	Kessenich	345	733	
	5,093,718	3/3/1992	Hoarty, et al.	725	120	
	5,404,393	4/4/1995	Remillard	379	93.25	
	5,479,268	12/26/1995	Young, et al.	386	83	
	5,319,455	6/7/1994	Hoarty, et al.	725	34	
•	•	FORE	EIGN PATENT DOCUMENTS		<u> </u>	

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI	LATION
BOOGINE IVI NOMBER	DATE	osonini.	OLAGO	30502730	YES	NO
WO 97/23819	7/3/1997	WIPO				
WO 97/22110	6/19/1997	WIPO				
WO 97/22067	6/19/1997	WIPO		,		
WO 97/22065	6/19/1997	WIPO				_
WO 97/22102	6/19/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Caitlin Bestler, "Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications", June 1993, Pages 223-236

Alexander Gelman, et al., "An Architecture For Interactive Applications", May 1993, Pages 848-852

**EXAMINER** 

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

ATTY DOCKET NO. 5265.00	SERIAL NO. 09/344,499
John S. Hendricks, et al.	

### June 25, 1999 2711 **U.S. PATENT DOCUMENTS** FILING DATE \*EXAMINER SUBCLASS NAME CLASS DOCUMENT NUMBER DATE IF APPROPRIATE INITIAL 725 93 -5,014,125 5/7/1991 Pocock, et al. 725 136 5,481,296 1/2/1996 Cragun, et al. NU6 2 9 20102 7/1/1997 Thompson, et al. 725 138 5,644,354 BYRADEM 3/3/1998 Freeman, et al. 725 138 5,724,091 5 725 9/2/1997 Morales 5,663,757 725 133 8/11/1998 Shaffer 5,793,414 9/8/1998 725 48 5,805,204 Thompson, et al. 4/7/1998 Case 704 260 5,737,725 704 275 5,890,122 3/30/1999 Van Kleeck, et al. 97 8/29/1995 Blahut, et al. 725 5,446,490 345 839 6/2/1998 5,761,485 Munyan **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO WO 97/22111 6/19/1997 **WIPO** WO 97/22103 6/19/1997 **WIPO** WO 97/22063 6/19/1997 **WIPO** WO 97/22105 6/19/1997 **WIPO** WO 97/22104 6/19/1997 WIPO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Henrie van den Boom, "An Interactive Videotex System", Nov. - Dec. 1986, Pages 397-401

EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

Nicole Yankelovich, et al., "Reading and Writing the Electronic Book", October 1985, Pages 16-30

(Use several sheets if necessary)

ATTY DOCKET NO. 5265.00	SERIAL NO. <b>09/344,499</b>
John C. Handwicks, et al.	
John S. Hendricks, et al.	
John S. Hendricks, et al. FILING	GROUP

 DATENIT	DOCUMENTS	٠
 PAIPNI	III II . I IMENIS	

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE
OIPE	6,204,885	3/20/2001	Kwoh	348	564	2
AUG 2 9 2002	5,477,262	12/19/1995	Banker, et al.	725	386/ V	, <sup>2</sup> / <sub>2</sub> / <sub>2</sub>
340	5,600,368	2/4/1997	Matthews, III	348	143 00	7 B
TRADEMANT.					- 6	ME OF
						000
		FORE	EIGN PATENT DOCUMENTS		•	

	DOÇUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	LATION
 				100	33=3-00	YES	NO
	WO 97/22109	6/19/1997	WIPO				
	WO 97/22112	6/19/1997	WIPO				
	WO 97/22079	6/19/1997	WIPO				
	WO 97/22049	6/19/1997	WIPO				
	WO 97/22100	6/19/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Craig Boyle, et al., "A Survey and Classification of Hypertext Documentation Systems", June 1992, Pages 98-111

Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynami Web documents", June 1997

**EXAMINER** 

**DATE CONSIDERED** 

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

ATTY DOCKET NO.	SERIAL NO.
5265.00	09/344,499
John S. Hendricks, et al.	
John S. Hendricks, et al.	GROUP

11	NFORMATION DISCLOSUR Use several sheets if nece			John S. Hendricks	, et al.			
	·			FILING June 25, 1		GROUP	2711	
		U.	S. PATENT	DOCUMENTS	<u>-</u>	-		
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	PRIATE
	OIPER					REO		
	AUG 2 9 2002					SED	FIVED	
	The state of the s			<u>.                                    </u>	16	Chnolon.	2002	
	PADEMENT					SEP O 4	er 2600	
								<u>.</u>
							ļ. -	
		•						
		FORI	EIGN PATE	NT DOCUMENTS				
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	NO NO
	WO 97/22099	6/19/1997	WIPO					
	WO 97/22097	6/19/1997	WIPO					
	WO 97/22064	6/19/1997	WIPO	. "			_	
	WO 97/22108	6/19/1997	WIPO					
	WO 97/22101	6/19/1997	WIPO					
	OTHER DOCUM	•		, Title, Date, Pertine				
	Pasquier-Boltuck, et al 1988,	., "Prototyping ar	n Interactiv	e Electronic Book Sys	tem Using an Obj	ect-Oriented A	Approach",	, Augu
	Bogdan Czejdo, "Using Pages 227-236	g an ER Query an	d Update I	nterface for Rapid Pro	ototyping of Hype	rtext Systems'	', January	1990,
EXAMINER				DATE CONSIDERED				-
	<del> </del>							

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

ATTY DOCKET NO. 5265	SERIAL NO. 09/344,499
John S. Hendricks, et al.	

			FILING June 25, 19		ROUP	2711	
		U.	S. PATENT DOCUMENTS				
*EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
MITIGE	OIPE				RECE SEP 04	11/2	
	AUG 2 9 2002 W				SEP 04	200	
				1e <sub>C</sub>	Prology Cen	100 c	
	TADEMEN OF					2600	
					i		
							*
·							
		·					
		FORE	EIGN PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL YES	ATION NO
	WO 97/22080	6/19/1997	WIPO				
	WO 97/22106	6/19/1997	WIPO				
	WO 97/22062	6/19/1997	WIPO				
	WO 97/22107	6/19/1997	WIPO				
	JP 7230466 Abstract	8/29/1995	JP				
	OTHER DOCUM		ng Author, Title, Date, Pertinen				
	MIchael Bieber, et al.,	"Fourth Generati	on Hypermedia: some missing links	s for the World	Wide Web", 1	997, Pages 3	31-65
						1 30/36	
EXAMINER			DATE CONSIDERED				
*EXAMINER: II	nitial if reference considered, wheth	per or not citation is	in conformance with MPEP 609: Dray	v line through cit	ation if not in co	onformance	and no

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

# UDE CITATION

### **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

5265 09/344,499

	·			FILING June 25,	1999	GROUP	2711	
		U.	S. PATENT I	OOCUMENTS				
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
	OIPE					FIEC SEP O	Elve	
	AU6 2 9 2002			7.24		SEP O	200	<del>)                                    </del>
	FIRE TRADEMARK					Technology Ce	<del>F €U(J)</del> For a	<del>,</del>
	C MADE				,		<del>1000000000000000000000000000000000000</del>	7
		FORI	EIGN PATEN	T DOCUMENTS	<u>_</u>		<u> </u>	
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	SLATION NO
	EP0838798A1	4/29/1998	Europe		<del></del>			
	EP0539106A2	10/24/1991	Europe	<u>.</u>				
	EP0892388 A1	1/20/1999	Europe					
	EP0810534	12/3/1997	Europe					<del> </del>
	EP0420123 A2	4/3/1991	Europe					
	OTHER DOCUM	ENTS (Includia	ng Author,	Title, Date, Pertin	ent Pages, Etc	:.)		<u> </u>
EXAMINER				DATE CONSIDERED			200	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

ATTY DOCKET NO.	SERIAL NO.
5265	09/344,499
John S. Hendricks, et al.	-

	(000 000 000 000 000 000 000 000 000 00	,	FILING June 25, 1		ROUP	2711	
		U.	S. PATENT DOCUMENTS				
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT	
	OIPE					5. j	
	AUG 2 9 2002			F	ECEIVI	ED	_
	THADEMIN OF				EP 0 4 20		
	MADEMAN				plogy Centa		-7
					PIONY GOTTE	, LUUU	
					1		
						<del> </del>	
						-	
						<u></u>	
							<del> </del>
						<u></u>	
·		FOR	EIGN PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS YES	NO NO
	WO 01/18665	3/15/2001	WIPO				
	WO 93/22877	11/11/1993	WIPO				
	WO 98/08344	2/26/1998	WIPO				
	WO 98/18086	4/30/1998	WIPO				
	WO 97/20274	6/5/1997	WIPO				
L	OTHER DOCUM	ENTS (Includii	ng Author, Title, Date, Pertine	nt Pages, Etc.)	<u> </u>		
	34.145						
EXAMINER	- <b>L</b> . L		DATE CONSIDERED				
*EXAMINER: I considered. In	nitial if reference considered, wheth	ner or not citation is	in conformance with MPEP 609; Dr	aw line through cita	ation if not in co	nformance	and no

Form PTO-A820 (also form PTO-1449) P09C/REV03

Patent and Trademark Office \* U.S. DEPARTMENT OF COMME

(Use several sheets if necessary)

ATTY DOCKET NO.	SERIAL NO.
5265	09/344,499
5405	09/344,499
John S. Hendricks, et al.	

Re-			FILING June 25, 19		GROUP	2711	
		U	S. PATENT DOCUMENTS				
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME .	CLASS	SUBCLASS	FILING DATE	TE
	110 · · · · · · · · · · · · · · · · · ·					FAPPROPRIATE PORTION OF THE CENTRAL PROPERTY OF THE PROPERTY O	~
	AU6 2 9 2002				Fechnon St	D 0 4 200	<u>&gt;0</u>
	TRADE MOON CO.	· · · · · · · · · · · · · · · · · · ·			10/0	Onter 2	<u> </u>
	-				_	1 <60	<u>70                                    </u>
		1			<u> </u>	<del> </del>	
			,				
						ļ	<del></del>
			,			-	
l		FOR	EIGN PATENT DOCUMENTS		1	<u></u>	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATI YES	
	WO 99/44144	9/2/1999	WIPO			TES	NO
	WO 96/25006	8/15/1996	WIPO				
	EP 0472070 A2	2/26/1992	EP			F	
	WO 95/15649	6/8/1995	WIPO				
	EP0924687 A2	6/23/1999	EP				
	OTHER DOCUM	ENTS (Includi	ng Author, Title, Date, Pertiner	nt Pages, Etc.,	)		
EXAMINER			DATE CONSIDERED				
*EXAMINER: Inconsidered. Inc	nitial if reference considered, wheth	er or not citation is	L s in conformance with MPEP 609; Dra oplicant.	w line through cit	tation if not in co	onformance and	on b

Form PTO-A820 (also form PTO-1449)

Copyright 1994-97 LegalStar

P09C/REV03

Patent and Trademark Office \* U.S. DEPARTMENT OF COMME



(Use several sheets if necessary)

ATTY DOCKET NO.	SERIAL NO.
5265	09/344,499
John S. Hendricks, et al.	

			FILING <b>June 25, 199</b> 9		GROUP 2	2711	
<u> </u>		U.	S. PATENT DOCUMENTS				
*EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
INITIOS	OIFE				SEP (		IATE
	AUG 2 9 2002			†	SEP	EIVEL	5
	AUG 2 9 2002				echnology (	4 2002	
	TRACEMAR.				0,0	enter 2601	<i>0</i>
				<del> </del>		<del> </del>	
			<del> </del>	+		<del>                                     </del>	÷.
			1	<del> </del>	<del></del> '		
						-	
						<u> </u>	
		FORE	EIGN PATENT DOCUMENTS				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL. YES	ATION NO
	WO 96/41473	12/19/1996	WIPO				
					+	<del>  </del>	<del></del>
	OTHER DOCU	IMENTS (Includir	ng Author, Title, Date, Pertinent F	Pages. Etc	1	<u></u>	-
		Million (	y Author, Thio, Cats,	-agos,	,		
	HILLIGATION TO THE STATE OF THE				<del></del>		
					,		
EXAMINER			DATE CONSIDERED				
EXAMINEL			DATE CONSIDERED				
*EXAMINER considered.	R: Initial if reference considered, who Include copy of this form with next	ether or not citation is t communication to an	s in conformance with MPEP 609; Draw I	line through ci	itation if not in co	onformance a	and no

Form PTO-A820 (also form PTO-1449)

Copyright 1994 LegalStar

P09C/REV03

Patent and Trademark Office \* U.S. DEPARTMENT OF COMME